

# Efficient Approximation Framework for Attribute Recommendation

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Trend analysis is a fundamental type of analytical query in online analytical processing (OLAP) systems. In trend analysis, a key step is to identify  $k$  valuable attributes whose distributions in two subsets under different predicates significantly differ for further investigation, where the difference is measured by metric functions. However, the exact solution that involves scanning all records is prohibitively expensive, particularly when handling large datasets in the era of big data. To minimize unnecessary data access, the existing state-of-the-art solution TopKAttr adopts sampling to avoid the expensive data scan. However, their solution still has two main drawbacks. Firstly, their solution is tailored only for two limited metric functions: the Earth Mover distance and Euclidean distance, and cannot be generalized to more complicated metric functions. Besides, their solution still aims to return the exact top- $k$  answers via the sampling method, which still causes high running costs as shown in our experiment.

Motivated by these limitations, we propose a general approximation framework for attribute recommendation that efficiently returns the top- $k$  attributes with theoretical guarantees while supporting an extensive range of metric functions, such as the Kolmogorov-Smirnov test (KS-test), Chebyshev distance, the Earth Mover distance, Euclidean distance, and with the potential to more metrics. The key to our framework is a new bound estimation strategy that can be applied to a wide spectrum of metrics, as we listed above. Based on our estimation framework, we further devise an efficient approximation algorithm with theoretical guarantees to answer the top- $k$  queries, which is widely used in attribute recommendation. Extensive experiments on four real large datasets show that our framework gains up to an order of magnitude speed-up and consistently high accuracy compared to TopKAttr, providing a promising alternative for attribute recommendation in OLAP systems.

CCS Concepts: • **Theory of computation** → **Design and analysis of algorithms; Approximation algorithms analysis.**

Additional Key Words and Phrases: Attribute Recommendation; Approximation Algorithm; Sampling

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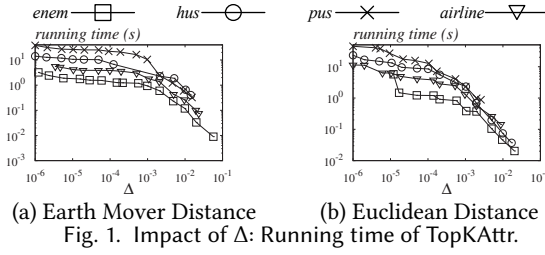
## 1 INTRODUCTION

OLAP is widely used to analyze multidimensional data at a high speed on large volumes of data such as a data warehouse [7, 13, 19], data mart [7, 19], or some other unified centralized data stores [37, 45] from various perspectives for decision-making and problem-solving. Trend analysis [48] is an important type of analytical queries in OLAP systems, involving identifying patterns and trends in data over time. It finds extensive applications in business intelligence [25], financial analysis [18] and healthcare analytics [44]. In the era of big data, many companies need to deal with huge mining tables [12, 58] with hundreds or even thousands of attributes. To do trend analysis, and also most data analysis tasks, the first step is to identify a relatively small set of valuable attributes for further exploration and analysis since users cannot easily be aware of all the relevant attributes that can be used to answer their queries. These valuable attributes can be carefully selected by experienced data experts in each area by devising complicated rules or even choosing them manually. However, this leads to a heavy workload and requires specific skills and sufficient background knowledge in the related areas [55, 56]. To alleviate this burden, some automatic attribute recommendation systems have been proposed in the literature to analyze data and suggest valuable candidate attributes that are likely to be relevant to the query, helping users explore the data more comprehensively and gain deeper insights into the underlying patterns and relationships [48, 49, 56].

To find valuable attributes for trend analysis, the key observation of existing studies [48, 49, 56] is that the more significant the differences between two distributions are under different predicates, the more likely it is that such an attribute is important in trend analysis. For example, consider a database for an online shopping platform that stores customization records, including columns for gender, age group, login platform, region, timestamp, and more. Our goal is to analyse the sales trends between records in the morning and evening, i.e., records with different timestamps, to recommend personalized content. To achieve this, we search for attributes where the distribution among the records in the morning deviates significantly from that among records in the evening. Suppose we find that the variation on gender is larger than that on the other attributes. Hence gender is a valuable attribute in this trend analysis process and we can provide more personalized content based on gender during the corresponding time range. Two state-of-the-art solutions for attribute recommendation in trend analysis are SeeDB [48] and TopKAttr [49]. The main focus is to return a small set of valuable attributes for users according to their variations or distances between two distributions from the two subsets of records with respect to two ad hoc queries within a single huge table. The variations or distances are measured by metric functions such as the commonly used Kolmogorov–Smirnov test (KS-test) [17, 32], Chebyshev distance [2, 14], Earth Mover distance [48, 49], Euclidean distance [48, 49] and so on.

A straightforward approach to this problem is calculating the exact metric function score of each attribute by scanning all records. Existing OLAP systems are typically stored in a columnar format, which makes data access more efficient. Nevertheless, the strategy of fully scanning records still leads to high latency for attribute recommendation, especially in large datasets with millions or even billions of records. SeeDB [48] employs sampling to reduce data access and query time with a heuristic multi-armed bandit pruning strategy. It creates an arm for each attribute, partitions the records, and updates the observed reward of each arm with the metric function score calculated from an unused partition step by step. Yet, the method mentioned above provides no theoretical guarantee and might return bad results.

TopKAttr [49] is the state-of-the-art solution for attribute recommendation that returns attributes using the sampling method with theoretical guarantees. The proposed solution only works for the Earth Mover distance and Euclidean distance. Assume that the distribution on attribute  $\alpha$  of the records fulfilling predicate  $P_1$  (resp.  $P_2$ ) is  $\mathbf{p}_1$  (resp.  $\mathbf{p}_2$ ). To measure the deviation of  $\mathbf{p}_1$  and  $\mathbf{p}_2$  on



attribute  $\alpha$ , the Earth Mover distance (resp. Euclidean distance) takes the normalized  $L_1$  (resp.  $L_2$ ) norm of  $\mathbf{p}_1 - \mathbf{p}_2$ . However, their solution has two major disadvantages. Firstly, it supports limited metric functions, i.e., only Earth Mover distance and Euclidean distance but not other widely used metric functions such as the KS-test and Chebyshev distance. As we will explain in detail in Sec. 2.2, the main reason why TopKAttr cannot be generalized to more complicated metric functions is that it tries to bound the error holistically. Yet, this becomes challenging when the metric function is more complicated, limiting their applications.

Besides, TopKAttr still returns the exact top- $k$  answers. They use the above sampling method to derive the lower- and upper-bound of the metric function scores. Notice that the more records are sampled, the tighter the bounds are. When the  $(k + 1)$ -th smallest upper bound is larger than the  $k$ -th smallest lower bound, TopKAttr stops the sampling and returns the exact top- $k$  answers. However, this still incurs high running costs and may cause a heavy workload when the difference  $\Delta$  between the  $k$ -th and  $(k + 1)$ -th largest scores is close. The query latency further increases when several attributes crowd near the  $k$ -th largest value, and it is extremely difficult to distinguish them. To better validate our motivation, we run the top- $k$  query with  $k = 16$  on four public datasets: *enem*, *hus*, *pus*, and *airline*, which are frequently used in existing attribute recommendation studies [48, 49] (More details of the datasets are in Section 6). We set  $k = 16$  and choose 50 different queries. Notice that for different queries, the difference  $\Delta$  of the  $k$ -th and  $(k + 1)$ -th largest value differs. Thus, we can plot the running time of TopKAttr with the change of  $\Delta$  among these 50 queries for the Earth Mover distance and Euclidean distance (that TopKAttr supports). Note that both the  $x$ -axis and  $y$ -axis are log-scale. Figure 1 shows that as the difference  $\Delta$  of the  $k$ -th and  $(k + 1)$ -th largest value decreases, the running cost of TopKAttr will increase by a large margin. This motivates us to present a more efficient top- $k$  algorithm.

**Main contributions.** Motivated by the limitations of the states of the art, we present a general approximation framework for attribute recommendation, which efficiently returns the top- $k$  attributes with theoretical guarantees. Our framework accommodates widely used metric functions, including the KS-test, Chebyshev distance, Earth Mover distance, and Euclidean distance, with the potential for more metrics as well. A key to the more generalized framework is that instead of treating the metric function holistically, we treat the sample probability of each attribute value as a random variable. For instance, for the Earth Mover distance, when considering  $\|\mathbf{p}_1 - \mathbf{q}_1\|_1$ , instead of considering it as a whole, we consider it separately for each attribute value. Suppose the support size, i.e., the number of distinct attribute values on attribute  $\alpha$  is  $s_\alpha$ . Then we consider these  $s_\alpha$  dimensions in the distribution separately. As we now focus more on a fine-grained function, it becomes more feasible to derive estimation bounds. Nevertheless, deriving the bounds for the complicated metric functions is still challenging. We will show how to deal with complicated metric functions in Sec. 3. Then, we aggregate such estimation bounds together to derive the final error bound. We further present theoretical analysis to show how to apply the technique to other metric functions, showing the generalization ability of our proposed framework.

Moreover, using the derived lower- and upper-bounds of the estimated metric function scores, we devise an efficient algorithm that can answer approximate top- $k$  queries for attribute recommendation with a success probability of  $(1 - 1/n)$ , where  $n$  is the total number of records. Initially, all attributes in the dataset are included as candidates. During each iteration, we check whether the estimated top- $k$  results with the current sample size meet the criteria of the approximate top- $k$  query. The algorithm terminates if these conditions are satisfied. The approximate solution allows us to avoid spending too much sampling cost when the  $k$ -th and the  $(k + 1)$ -th largest scores are close, improving the query efficiency while still providing strong theoretical guarantees on the returned results.

We validate the performance of our framework by conducting an experimental study on four real large datasets. The practical results demonstrate that our framework outperforms the alternatives on all datasets in all cases. Remarkably, our framework is up to three orders of magnitude faster than the exact solution and achieves up to one order of magnitude speed-up with consistently high accuracy compared to the state-of-the-art solution, providing a promising alternative for attribute recommendation in OLAP systems. Additionally, a user study on a real data analysis scenario demonstrates that there is no one-size-fits-all metric function, and a more general framework supporting multiple metric functions allows users to choose the function or combinations that best suit their needs, thereby avoiding the limitations of a specific metric function and potentially yielding better results.

## 2 PRELIMINARIES

### 2.1 Problem Statement

We consider a single table  $\mathcal{T}$  with a set  $A = \{\alpha_1, \alpha_2, \dots, \alpha_d\}$  of  $d$  attributes. Let  $r$  be a record of  $\mathcal{T}$  and let  $r(\alpha)$  be the value of attribute  $\alpha$  at record  $r$ . For an arbitrary attribute  $\alpha \in A$ , let  $s_\alpha$  be the support size (or the number of distinct attribute values) of attribute  $\alpha$  and assume that the distinct attribute values are  $\{e_1, e_2, \dots, e_{s_\alpha}\}$ . Given two ad hoc queries  $Q_1$  and  $Q_2$ , let  $\mathcal{S}_1$  with a size of  $n_1$  and  $\mathcal{S}_2$  with a size of  $n_2$  be the two subsets of  $\mathcal{T}$  satisfying the predicates  $P_1$  and  $P_2$ , respectively. Our target is to find attributes whose distributions in  $\mathcal{S}_1$  and  $\mathcal{S}_2$  have large deviations, where the deviation is measured by metric functions. Given an attribute  $\alpha$  to be considered, let  $n_{1i}$  be the number of records in  $\mathcal{S}_1$  whose attribute value on attribute  $\alpha$  equals to  $e_i$ , i.e.,  $n_{1i} = |\{r | r \in \mathcal{S}_1 \wedge r(\alpha) = e_i\}|$ . Then,  $n_{2i}$  can be defined similarly with respect to  $\mathcal{S}_2$ . Clearly,  $n_1 = \sum_{i=1}^{s_\alpha} n_{1i}$  and  $n_2 = \sum_{i=1}^{s_\alpha} n_{2i}$ . To compare two distributions, define  $p_{1i} = n_{1i}/n_1$  (resp.  $p_{2i} = n_{2i}/n_2$ ) as the probability of a record  $r$  in  $\mathcal{S}_1$  (resp.  $\mathcal{S}_2$ ) so that  $r(\alpha) = e_i$ . We further define the distribution vectors  $\mathbf{p}_1 = [p_{11}, p_{12}, \dots, p_{1s_\alpha}]$  and  $\mathbf{p}_2 = [p_{21}, p_{22}, \dots, p_{2s_\alpha}]$ . Given an attribute  $\alpha$ , we focus on the following four metric functions to measure the deviation on the predicates  $P_1$  and  $P_2$ .

**Kolmogorov–Smirnov test (KS-test).** As claimed by Engmann et al. [17], the KS-test is one of the most commonly used tests of distributions. Specifically, KS-test derives the empirical cumulative distribution functions of two distributions on a given attribute  $\alpha$  and then calculates their distance [32], which is defined as

$$D_{KS}(\alpha) = \max_{i \in [1, s_\alpha]} |F_{1i} - F_{2i}| = \|\mathbf{F}_1 - \mathbf{F}_2\|_\infty,$$

where  $F_{1i} = \sum_{k=1}^i p_{1k}$  and  $F_{2i} = \sum_{k=1}^i p_{2k}$  for  $i \in [1, s_\alpha]$  are the empirical cumulative distribution functions of the first and the second distribution on attribute  $\alpha$  respectively. In addition, we have  $\mathbf{F}_1 = [F_{11}, F_{12}, \dots, F_{1s_\alpha}]$  and  $\mathbf{F}_2 = [F_{21}, F_{22}, \dots, F_{2s_\alpha}]$ . For example, assume that we consider two distributions  $\mathbf{p}_1 = [0.1, 0.2, 0.3, 0.4]$  and  $\mathbf{p}_2 = [0.4, 0.3, 0.1, 0.2]$  for attribute  $\alpha$  where  $s_\alpha = 4$ . Their empirical cumulative distributions can be derived as  $\mathbf{F}_1 = [0.1, 0.3, 0.6, 1.0]$  and  $\mathbf{F}_2 = [0.4, 0.7, 0.8, 1.0]$ . KS-test uses the largest absolute difference among all position  $i \in [1, 4]$  between

the empirical cumulative distributions  $F_1$  and  $F_2$  to indicate the distance between  $\mathbf{p}_1$  and  $\mathbf{p}_2$ . Then  $D_{KS}(\alpha) = |F_{12} - F_{22}| = 0.4$  as the absolute difference between the second elements of  $F_1$  and  $F_2$  is the largest.

**Chebyshev distance.** Chebyshev distance is another metric of distribution comparison [2, 14], which is defined by the largest absolute probability difference among any coordinate dimensions. Specifically, for attribute  $\alpha$ ,

$$D_{CH}(\alpha) = \max_{i \in [1, s_\alpha]} |p_{1i} - p_{2i}| = \|\mathbf{p}_1 - \mathbf{p}_2\|_\infty.$$

Following the above example with the same distributions  $\mathbf{p}_1$  and  $\mathbf{p}_2$ ,  $D_{CH}(\alpha) = |p_{11} - p_{21}| = 0.3$ .

**Earth Mover distance & Euclidean distance.** We also include Earth Mover distance and Euclidean distance in our consideration as they are effective metrics of deviation [48, 49]. We have earth Mover distance between two distributions on attribute  $\alpha$  as  $D_{EM}(\alpha) = \frac{1}{2} \sum_{i=1}^{s_\alpha} |p_{1i} - p_{2i}| = \frac{1}{2} \|\mathbf{p}_1 - \mathbf{p}_2\|_1$  and the corresponding Euclidean distance  $D_{EU}(\alpha) = \sqrt{\frac{1}{2} \sum_{i=1}^{s_\alpha} (p_{1i} - p_{2i})^2} = \frac{1}{\sqrt{2}} \|\mathbf{p}_1 - \mathbf{p}_2\|_2$ . Both multipliers  $\frac{1}{2}$  and  $\frac{1}{\sqrt{2}}$  are used to ensure that all distance metric values will fall in the range  $[0, 1]$ .

**Attribute recommendation.** Using the metric functions above (but not limited to), we can now formalize attribute recommendation problems. When the user specifies two predicates for two ad hoc queries with a metric function, the attribute recommendation system analyses the underlying records and returns several valuable attributes of interest. Following previous works [48, 49], we define the top- $k$  query as follows.

**DEFINITION 1 (TOP- $k$  QUERY FOR ATTRIBUTE RECOMMENDATION).** *Given a table  $\mathcal{T}$  that includes a set  $A$  of attributes, let  $D$  be the provided metric function and let  $\mathcal{S}_1$  and  $\mathcal{S}_2$  be the subsets of  $\mathcal{T}$  that match predicate  $P_1$  and  $P_2$  respectively. The top- $k$  query returns a set  $R$  of  $k$  attributes such that  $D(\alpha) \geq D(\alpha')$  for any  $\alpha \in R$  and  $\alpha' \in A \setminus R$ .*

The top- $k$  query serves an important role as a pre-filtering process to prune most irrelevant attributes, which significantly alleviates the time for attribute selection. Practically, the approximate top- $k$  query is sufficient, as seen in applications such as subtree matching [4], binary pattern discovery [31], keyword proximity search [27], anomaly detection [29], mobile information subscription [8], object-class retrieval [38], personalized PageRank [23, 24, 51–54], data statistics like empirical entropy [9] and empirical variance [10]. Therefore, it would be valuable to design a top- $k$  algorithm with an approximation parameter that can quickly return an approximate result when a strict requirement is not necessary. The algorithm can still return the exact result by adjusting the parameter setting when needed. Thus, we define the approximate top- $k$  query as follows.

**DEFINITION 2 (APPROXIMATE TOP- $k$  QUERY).** *Given a metric function  $D$ , two subsets  $\mathcal{S}_1$  and  $\mathcal{S}_2$  of records with attributes in a set  $A$ , a positive integer  $k$  and a relative error  $\epsilon$ , the approximate top- $k$  query returns a set  $R$  of  $k$  attributes such that the following two conditions*

- $|D(\alpha'_i) - \hat{D}(\alpha'_i)| \leq \epsilon$  for any  $i \in [1, k]$
- $|D(\alpha_i^*) - \hat{D}(\alpha'_i)| \leq \epsilon$  for any  $i \in [1, k]$

*are satisfied with at least  $1 - p_f$  probability where  $\alpha'_1, \alpha'_2, \dots, \alpha'_k$  are the returned attributes and  $\alpha_i^*$  is the attribute with the exact  $i$ -th largest metric function value.*

In the above definition, the first condition requires that the estimated metric function values of the returned attributes are close to their exact values. The second condition makes sure that the returned  $k$  attributes have similar values to the exact  $k$  largest values. Combining these two conditions ensures that the returned approximate top- $k$  results will have high quality. The degree

Table 1. Frequently used notations.

Notation	Description
$\mathcal{T}, n$	The table $\mathcal{T}$ and the number of records in $\mathcal{T}$
$\mathcal{S}_1 / \mathcal{S}_2$	The subset of $\mathcal{T}$ fulfilling predicate $P_1 / P_2$
$n_1 / n_2$	The number of records in $\mathcal{S}_1 / \mathcal{S}_2$
$d$	The number of attributes in $\mathcal{T}$
$m_1 / m_2$	The number of samples from $\mathcal{S}_1 / \mathcal{S}_2$
$A$	The set of all attributes in $\mathcal{T}$
$\alpha, s_\alpha$	An attribute from set $A$ and its support size
$p_f$	The failure probability of the algorithm
$D(\alpha)$	The metric function value of $\alpha$
$\hat{D}(\alpha)$	The estimation of $D(\alpha)$
$\underline{D}(\alpha), \bar{D}(\alpha)$	The lower- and upper-bound of $D(\alpha)$
$\epsilon$	The error bound for the approximate top- $k$ query
$\eta$	The smaller selectivity for predicates $P_1$ and $P_2$

of closeness is measured by a predefined parameter  $\epsilon$ . Intuitively, the approximate top- $k$  query provides a trade-off between accuracy and efficiency through the user setting of absolute error  $\epsilon$ . Additionally, the frequently used notations are listed in Tab. 1.

## 2.2 Existing Solutions

**Exact solution.** A straightforward solution is to scan all records satisfying the predicates in subsets  $\mathcal{S}_1$  and  $\mathcal{S}_2$  column by column (supposing that data are stored in a columnar format) and calculate the exact metric function values for all attributes. Although modern databases such as SQL Server [3] support columnar storage for high efficiency of OLAP queries, it still leads to high costs while there are millions of records in subsets for ad hoc queries, which is common in modern data warehouses, such as Snowflake [13], Amazon Redshift [19] and IBM Db2 Warehouse [7].

**Existing approximate solutions.** Approximate solutions use sampled records to approach exact metric function values. Sampling-based approximations avoid scanning all records, making them more efficient than the exact solution when the data consists of millions or even billions of records with tens to hundreds of attributes and data access is the bottleneck [48, 49].

Nonetheless, there are some obstacles when approximating metric functions with sampling. Standard concentration inequalities such as Hoeffding's inequality [22] and McDiarmid's inequality [33] cannot be directly adopted to estimate the metric function since they require that the function value can be expressed as the average of samples. SeeDB [48] is a data-driven visualization framework that uses worst-case confidence intervals and a heuristic multi-armed bandit strategy to prune attributes with low metric function values. It creates an arm for each attribute, partitions the records, and updates the observed reward of each arm with the metric function value calculated step by step from an unused partition. Each estimate obtained from the iteration is regarded as a sample of the approximate metric function value. However, since the expectation of these sampled values from each partition does not match the metric function calculated from the entire dataset, the derived confidence intervals are biased. This bias can cause the returned  $k$  attributes to deviate significantly from the exact top- $k$  attributes, without any probabilistic guarantee.

To provide results with probabilistic guarantees, Wang et al. propose TopKAttr [49], which is the state-of-the-art solution for attribute recommendation. In practical applications, data partitioning is a commonly used technique in column-oriented database systems that provide single-machine in-memory deployment and data partitioning, such as Microsoft SQL Server [3], MonetDB [6], and

SAP HANA [43]. It is also used in distributed data platforms such as data warehouses [46] and Hadoop [42]. TopKAttr focuses on the single machine in-memory setting and considers the situation where records in table  $\mathcal{T}$  are randomly partitioned. In this case, each record can be modeled as a sample unit without replacement from table  $\mathcal{T}$ . For analyzing the sampling without replacement model, define the permutation of records in subset  $\mathcal{S}_1$  (resp.  $\mathcal{S}_2$ ) as  $\Pi_1 = (\pi_1, \pi_2, \dots, \pi_{n_1})$  (resp.  $\Pi_2 = (\pi_1, \pi_2, \dots, \pi_{n_2})$ ) where  $n_1$  (resp.  $n_2$ ) is the number of records in  $\mathcal{S}_1$  (resp.  $\mathcal{S}_2$ ) underlying predicate  $P_1$  (resp.  $P_2$ ). Each value  $\pi_i$  in  $\Pi_1$  (resp.  $\Pi_2$ ) defines the index of the  $i$ -th sample among  $n_1$  (resp.  $n_2$ ) records where  $i \in [1, n_1]$  (resp.  $[1, n_2]$ ). Consider a fixed attribute  $\alpha$ . Without loss of generality, assume that there are  $m_1$  (resp.  $m_2$ ) records sampled from  $\mathcal{S}_1$  (resp.  $\mathcal{S}_2$ ). Then define  $m_{1i}$  (resp.  $m_{2i}$ ) as the number of records in  $\mathcal{S}_1$  (resp.  $\mathcal{S}_2$ ) whose attribute value on attribute  $\alpha$  equals to  $e_i$  among these  $m_1$  (resp.  $m_2$ ) samples, and we have  $m_1 = \sum_{i=1}^{s_\alpha} m_{1i}$  (resp.  $m_2 = \sum_{i=1}^{s_\alpha} m_{2i}$ ). Then we define  $q_{1i} = m_{1i}/m_1$  (resp.  $q_{2i} = m_{2i}/m_2$ ) as the probability that the record has an attribute value of  $e_i$  on attribute  $\alpha$  among the  $m_1$  (resp.  $m_2$ ) samples for  $i \in [1, s_\alpha]$ . Similar to the definitions of  $\mathbf{p}_1$  and  $\mathbf{p}_2$ , we define vectors  $\mathbf{q}_1 = [q_{11}, q_{12}, \dots, q_{1s_\alpha}]$  and  $\mathbf{q}_2 = [q_{21}, q_{22}, \dots, q_{2s_\alpha}]$  for the sampling results. With these sampled records from  $\mathcal{S}_1$  and  $\mathcal{S}_2$ , TopKAttr calculates the estimation of Earth Mover distance (resp. Euclidean distance) by  $\hat{D}_{EM}(\alpha) = \frac{1}{2} \|\mathbf{q}_1 - \mathbf{q}_2\|_1$  (resp.  $\hat{D}_{EU}(\alpha) = \frac{1}{\sqrt{2}} \|\mathbf{q}_1 - \mathbf{q}_2\|_2$ ) for each attribute. However, the approximate results, i.e.,  $\hat{D}_{EM}(\alpha)$  and  $\hat{D}_{EU}(\alpha)$ , still have no theoretical guarantee for their accuracy.

We then rephrase how TopKAttr provides results for Earth Mover distance and Euclidean distance with probabilistic guarantees. Since the confidence radius derivations of these two distances are similar, we only take the analysis of Earth Mover distance as an illustration here. Consider the absolute difference between the exact Earth Mover distance value  $D_{EM}(\alpha)$  and its estimation  $\hat{D}_{EM}(\alpha)$ , i.e.,  $|D_{EM}(\alpha) - \hat{D}_{EM}(\alpha)|$ . The less the absolute difference is, the more accurate the estimation result is. Then TopKAttr derives an upper-bound of this difference as the sum of two parts, i.e.,  $|D_{EM}(\alpha) - \hat{D}_{EM}(\alpha)| \leq \frac{1}{2} f_1^{EM} + \frac{1}{2} f_2^{EM}$ , where  $f_1^{EM} = \|\mathbf{p}_1 - \mathbf{q}_1\|_1$  and  $f_2^{EM} = \|\mathbf{p}_2 - \mathbf{q}_2\|_1$ . Note that each part  $\frac{1}{2} f_j^{EM}$  for  $j = 1, 2$  only concerns the data distribution on attribute  $\alpha$  in subset  $\mathcal{S}_j$  and the corresponding distribution of the samples from the same set. If  $f_1^{EM}$  and  $f_2^{EM}$  can be bounded probabilistically,  $|D_{EM}(\alpha) - \hat{D}_{EM}(\alpha)|$  can also be bounded. To obtain an upper-bound of  $f_j^{EM}$  for  $j = 1, 2$  with concentration inequalities, we require the expectation  $\mathbb{E}[f_j^{EM}]$  of  $f_j^{EM}$  or its upper bound  $\bar{\mathbb{E}}[f_j^{EM}]$ . TopKAttr shows that the upper bounds  $\bar{\mathbb{E}}[f_j^{EM}]$  and  $\bar{\mathbb{E}}[f_j^{EU}]$  can be derived for  $L_1$  norm and  $L_2$  norm. However, it is unclear how to derive such an expectation for more complex metric functions, such as KS-test and Chebyshev distance. The limitations of the techniques used in TopKAttr motivate us to devise a more general approach.

Looking back to TopKAttr, it employs a concentration inequality for a transductive learning problem in [15] to bound  $f_1^{EM}$  (resp.  $f_2^{EM}$ ) with the upper-bound  $\bar{\mathbb{E}}[f_1^{EM}]$  (resp.  $\bar{\mathbb{E}}[f_2^{EM}]$ ) of  $\mathbb{E}[f_1^{EM}]$  (resp.  $\mathbb{E}[f_2^{EM}]$ ). Then TopKAttr derives the confidence radius of  $D_{EM}(\alpha)$  with union bound. When the context is clear, we omit the bracket including attribute  $\alpha$  for space saving. The lower- and upper-bounds are shown below.

LEMMA 1 (THEOREM 4 IN [49]). *Given attribute  $\alpha$  whose support size is  $s_\alpha$ ,  $m_1$  (resp.  $m_2$ ) sampled records from subset  $\mathcal{S}_1$  (resp.  $\mathcal{S}_2$ ), and a failure probability  $p_f$ , we have that both*

$$\underline{D}_{EM} = \hat{D}_{EM} - r_{EM} \text{ and } \bar{D}_{EM} = \hat{D}_{EM} + r_{EM}$$

*hold with probability at least  $1 - p_f$ , where*

$$r_{EM} = \sum_{j=1}^2 \left( \bar{\mathbb{E}}[f_j^{EM}] + h_j \sqrt{2 \log \left( \frac{\sqrt{h_1} + \sqrt{h_2}}{p_f \sqrt{h_j}} \right)} \right)$$

and  $h_j = \sqrt{(n_j - m_j)/m_j/n_j}$ .

Then, TopKAttr utilizes the bounds of each  $D_{EM}$  obtained from the initial samples and identifies competing attributes whose confidence intervals overlap. For these competing attributes, more samples are considered to calculate a tighter bound. The competing attributes are updated based on the new bounds in each iteration. The algorithm only terminates when the exact top- $k$  attributes are completely separated from the other attributes, meaning their confidence intervals do not overlap. Although TopKAttr considers the approximate result of each attribute, its mechanism still requires the exact top- $k$  attributes, which can be expensive when the  $k$ -th and  $(k + 1)$ -th largest metric function values for the corresponding attributes are close. Besides, there may be more than two borderline attributes, which increases the computation cost for distinguishing them precisely. In contrast, obtaining approximate top- $k$  results with theoretical guarantees is sufficient since it does not matter which specific borderline attribute is returned.

### 3 APPROXIMATION OF METRIC FUNCTIONS

From a high-level perspective, our proposed framework aims to return the approximate top- $k$  attributes with theoretical guarantees efficiently, supporting commonly used tests such as KS-test, Chebyshev distance, Earth Mover distance, Euclidean distance, and potentially more metrics. The most challenging part of our framework is devising a general technique to approximate the above-mentioned metric functions. The state-of-the-art solution for attribute recommendation, i.e., TopKAttr, only supports Earth Mover distance and Euclidean distance. They directly regard each metric function as a whole and derive the upper bound of the estimated error with the inequality in [15]. However, the techniques in TopKAttr cannot be extended to other metric functions, such as KS-test and Chebyshev distance. To fill this gap, we carefully devise a nontrivial general approach to estimating metric functions. Instead of directly estimating the metric function as a whole, we zoom in on each dimension of the distribution, which is more flexible. Specifically, we derive the estimated bound of each dimension with high probability and then aggregate these estimations with a union bound. The specific aggregation function depends on the required metric function, e.g., summation for Earth Mover distance and taking the maximum for Chebyshev distance from their expressions. As our framework is devised based on each dimension that almost all metric functions for comparing distributions essentially focus on, it is more applicable to general metric functions. In practice, our technique can approximate many metric functions such as KS-test, Chebyshev distance, Earth Mover distance, Euclidean distance, and potentially more metrics, overcoming the limitations of TopKAttr.

Following the setting in [49] and [50], the records in table  $\mathcal{T}$  are randomly partitioned and each record can be modeled as a sampling unit without replacement, which is common in column-oriented database systems that provide single-machine in-memory deployment and data partitioning such as Microsoft SQL Server [3], MonetDB [6], and SAP HANA [43] as well as distributed data platforms such as data warehouse [46] and Hadoop [42]. Our framework focuses on in-memory column store layouts and the overview is as follows. Using initial samples, calculate the confidence interval of each candidate attribute corresponding to the metric function for the query. Then prune attributes that will not be returned with high probability. For the remaining attributes, we adaptively increase the sample size and derive narrower confidence intervals, where the pruning procedure is conducted synchronously. The algorithm terminates until the found attributes satisfy the conditions of the approximate top- $k$  query, which are proposed to accelerate the query with theoretical guarantees. In this section, we will focus on the proposed technique to approximate metric functions since it is the core component of our framework. The details of approximate top- $k$  query processing will be deferred to the next section.



### 3.1 Lower- and Upper-Bounds of KS-Test

To demonstrate the proposed technique for computing confidence intervals of metric functions, we will start with KS-test. Later, we will show how to extend to other metric functions.

**Some definitions for estimating KS-test.** Before conducting the analysis, we provide some definitions for estimating the KS-test. Recall that  $F_{ij}$  for  $i \in [1, s_\alpha]$  is the empirical cumulative distribution on attribute  $\alpha$  of records in subset  $\mathcal{S}_j$  where  $j = 1, 2$ . Besides,  $q_{1i}$  (resp.  $q_{2i}$ ) is the probability that the value on attribute  $\alpha$  of the record within the  $m_1$  (resp.  $m_2$ ) samples equals to  $e_i$  where  $i \in [1, s_\alpha]$ . Then define  $G_{1i} = \sum_{k=1}^i q_{1k}$  (resp.  $G_{2i} = \sum_{k=1}^i q_{2k}$ ) for  $i \in [1, s_\alpha]$  as the empirical cumulative distribution function based on  $m_1$  (resp.  $m_2$ ) samples on attribute  $\alpha$  where  $s_\alpha$  is the support size of attribute  $\alpha$ . We also define  $\mathbf{G}_1 = [G_{11}, G_{12}, \dots, G_{1s_\alpha}]$  and  $\mathbf{G}_2 = [G_{21}, G_{22}, \dots, G_{2s_\alpha}]$  for ease of discussion later. Then, the estimation of the KS-test for attribute  $\alpha$  based on the sampling results is  $\hat{D}_{KS}(\alpha) = \max_{i \in [1, s_\alpha]} |G_{1i} - G_{2i}| = \|\mathbf{G}_1 - \mathbf{G}_2\|_\infty$ .

Next, we will bound the absolute difference between the exact KS-test  $D_{KS}(\alpha)$  and its estimation  $\hat{D}_{KS}(\alpha)$  on attribute  $\alpha$ , i.e.,  $|D_{KS}(\alpha) - \hat{D}_{KS}(\alpha)|$ , with probabilistic guarantees. Our main idea is to analyze each dimension of the empirical cumulative distribution function and limit the discrepancy between the actual and its estimated value with a high probability. As KS-test asks for the largest deviation among all dimensions, we can bound the gap between the true KS-test and its estimation through the maximum gap in each dimension using a union bound, where the detailed analysis is as follows. With the triangle inequality for the infinity norm, we have that  $|D_{KS}(\alpha) - \hat{D}_{KS}(\alpha)| = \|\|\mathbf{F}_1 - \mathbf{F}_2\|_\infty - \|\mathbf{G}_1 - \mathbf{G}_2\|_\infty\|$  satisfies:

$$|D_{KS}(\alpha) - \hat{D}_{KS}(\alpha)| \leq \|\mathbf{F}_1 - \mathbf{G}_1\|_\infty + \|\mathbf{F}_2 - \mathbf{G}_2\|_\infty. \quad (1)$$

Define  $f_j^{KS} = \|\mathbf{F}_j - \mathbf{G}_j\|_\infty$  for  $j = 1, 2$ , where  $f_j^{KS}$  is the  $L_\infty$  distance between the actual empirical cumulative distribution  $\mathbf{F}_j$  on attribute  $\alpha$  in subset  $\mathcal{S}_j$  and the corresponding cumulative distribution  $\mathbf{G}_j$  for the  $m_j$  sampled records from  $\mathcal{S}_j$ . When the context is clear, we omit the superscript of  $f_j^{KS}$ . What we need to do next is to bound  $f_j$  for  $j = 1, 2$ , based on sampling. If we can bound  $f_j$ , we can bound the error of  $|D_{KS}(\alpha) - \hat{D}_{KS}(\alpha)|$  by Eqn. 1, achieving our goal.

**Establishing lower- and upper-bounds of KS-test.** We then show how to bound  $f_1$  (resp.  $f_2$ ) via sampling without replacement. Note that sampling without replacement can be regarded as first deriving a random permutation  $\Pi$  and then sampling sequentially from this permutation. As function  $f_j$  depends on the permutation of sampled records satisfying the predicates, we define  $f_j(\Pi_j)$  as the value of  $f_j$  with respect to the first  $m_j$  samples from a random permutation  $\Pi_j$ . Also, we define  $\Pi_j^{rs}$  as the permutation when swapping  $\pi_r$  and  $\pi_s$  in  $\Pi_j$ , where  $r \in [1, m_j]$  and  $s \in [m_j+1, n_j]$ . We subsequently utilize a concentration inequality for sampling without replacement [16] to derive the bound, or called confidence radius, of  $D_{KS}(\alpha)$ , where the inequality is rephrased as follows.

LEMMA 2 ([16]). *Let  $\Pi_j$  be a random permutation and  $f_j(\Pi_j)$  be a function with  $|f(\Pi_j) - f(\Pi_j^{rs})| < \beta$  for all  $r \in [1, m_j]$  and  $s \in [m_j+1, n_j]$ . Then, we have that*

$$\mathbb{P}(f_j(\Pi_j) - \mathbb{E}[f_j(\Pi_j)] \geq \gamma) \leq \exp\left(-\frac{2\gamma^2}{m_j\beta^2} \left(\frac{n_j - 1/2}{n_j - m_j}\right) \left(1 - \frac{1}{2 \max(m_j, n_j - m_j)}\right)\right).$$

To apply the above lemma, we first need to derive an upper bound of  $|f_j(\Pi_j) - f_j(\Pi_j^{rs})|$ , i.e., the absolute difference of  $f_j$  when swapping a pair of values on attribute  $\alpha$  within the sampled and unsampled records in subset  $\mathcal{S}_j$  for  $j = 1, 2$ . When defining  $l = \arg \max_{i \in [1, s_\alpha]} |F_{ji} - G_{ji}|$ , we have

$$|f_j(\Pi_j) - f_j(\Pi_j^{rs})| \leq \left\| \frac{\sum_{k=1}^l n_{jk}}{n_j} - \frac{\sum_{k=1}^l m_{jk}}{m_j} \right\| - \left\| \frac{\sum_{k=1}^l n_{jk}}{n_j} - \frac{\sum_{k=1}^l m_{jk} - 1}{m_j} \right\| \leq \frac{1}{m_j}.$$

To derive a bound of  $f_j$ , it is also necessary to know  $\mathbb{E}[f_j(\Pi_j)]$  of  $f_j$  or, alternatively, an upper bound of  $\mathbb{E}[f_j(\Pi_j)]$ , which is nontrivial to derive for KS-test and Chebyshev distance. Nevertheless, here we assume that we already have an upper bound  $\bar{\mathbb{E}}[f_j^{KS}]$  of  $\mathbb{E}[f_j^{KS}]$ , where this assumption will be resolved in the next section shortly. Given the upper bound of  $\mathbb{E}[f_j^{KS}]$ , we can then derive the upper bound of  $f_j$ . Given the upper bound of  $f_j$ , we can then bound  $|D_{KS}(\alpha) - \hat{D}_{KS}(\alpha)|$  via Eqn. 1. By applying Lem. 2 and Eqn. 1, we can derive the confidence radius of  $D_{KS}(\alpha)$  as shown below.

**LEMMA 3.** *Given attribute  $\alpha$  whose support size is  $s_\alpha$ ,  $m_1$  (resp.  $m_2$ ) sampled records from subset  $S_1$  (resp.  $S_2$ ), and a failure probability  $p'_f$ , we have that both*

$$\underline{D}_{KS} = \hat{D}_{KS} - r_{KS} \text{ and } \bar{D}_{KS} = \hat{D}_{KS} + r_{KS}$$

hold with probability at least  $1 - p'_f$ , where

$$r_{KS} = \sum_{j=1}^2 \left( \bar{\mathbb{E}}[f_j^{KS}] + h_j \sqrt{2 \ln \left( \frac{h_1 + h_2}{p'_f \cdot h_j} \right)} \right)$$

$$\text{and } h_j = \sqrt{\frac{n_j - m_j}{4(n_j - \frac{1}{2})m_j(1 - \frac{1}{2 \max(m_j, n_j - m_j)})}}.$$

Lem. 3 provides lower- and upper-bounds of KS-test based on samples with theoretical guarantees. These bounds can be applied to the approximate top- $k$  query in our framework. Besides, we will show the optimization technique to tighten the bounds in Sec. 3.3.

### 3.2 Upper Bounding the Expectation of $f_j^{KS}$

Remind that we assume a bound  $\bar{\mathbb{E}}[f_j^{KS}]$  for the expectation  $\mathbb{E}[f_j^{KS}]$  where  $f_j^{KS} = \|F_j - G_j\|_\infty$  for  $j = 1, 2$ . The derivation of this bound is challenging and will be established in this section.

**Establishing bounds on the expectation of  $f_j^{KS}$ .** Recap that in TopKAttr, to bound  $f_j$ , it derives an error bound  $\gamma$  between  $f_j$  and  $\mathbb{E}[f_j]$ , i.e.,  $|f_j - \mathbb{E}[f_j]| \leq \gamma$ . Then, it further derives an upper bound  $\bar{\mathbb{E}}[f_j]$  of  $\mathbb{E}[f_j]$  to obtain the bound of  $f_j$ . However, the upper-bound  $\bar{\mathbb{E}}[f_j]$  of  $\mathbb{E}[f_j]$  cannot be computed explicitly with the technique in TopKAttr. Consequently, we solve this problem from another direction, which is the key part of our technique. According to the definition of expectation,  $\mathbb{E}[f_j] = \sum_{k=1}^w x_k u_k$  when regarding  $f_j$  as a random variable where  $x_k$  is a possible outcome of  $f_j$ ,  $u_k$  is the corresponding probability, and there are in total  $w$  outcomes. Without loss of generality, we assume that the index of each possible outcome corresponds to the ascending order of all outcomes, i.e.,  $x_k < x_{k+1}$  for  $k \geq 1$ . Since  $f_j \in [0, 1]$  from the definitions of metric functions,  $x_k$  belongs to the same range, i.e.,  $x_k \in [0, 1]$ . However, this sum is difficult to calculate since we need to consider all possible sampling results. Alternatively, we consider separating consecutive outcomes  $x_k$  into finite groups. Define  $I_g$  as the set of indices of outcomes and their corresponding probabilities falling into group  $g$ . For group  $g$ , we represent it with an upper-bound  $y_g$  of all outcomes  $x_k$  in this group, i.e.,  $y_g = \max_{l \in I_g} x_l$ . Also, we sum up all the corresponding probabilities  $u_k$  in group  $g$  as  $v_g$ , i.e.,  $v_g = \sum_{l \in I_g} u_l$ . To control the number  $t$  of groups and ensure that the sum of all probability are 1, we define  $t$  as  $\lceil \log_2 n_j \rceil + 1$  and the corresponding probabilities for  $t$  groups are set as  $v_g = \frac{1}{2^g}$  for each group  $g \in [1, t - 1]$  and  $v_t = \frac{1}{2^{t-1}}$  such that  $\sum_{g=1}^t v_g = \frac{1}{2} + \frac{1}{4} + \dots + \frac{1}{2^{t-2}} + \frac{2}{2^{t-1}} = 1$ , where the last item  $\frac{2}{2^{t-1}}$  explains two equal probabilities  $v_{t-1}$  and  $v_t$ . Therefore, we have  $\mathbb{E}[f_j] = \sum_{k=1}^w x_k u_k = \sum_{g=1}^t \sum_{l \in I_g} x_l u_l \leq \sum_{g=1}^t \max_{l' \in I_g} x_{l'} \sum_{l \in I_g} u_l \leq \sum_{g=1}^t y_g v_g$ .

**EXAMPLE 1.** *Assume that we have that the outcome  $x_1 = 0.1$  has probability  $u_1 = 0.1$ ,  $x_2 = 0.3$  with  $u_2 = 0.4$ ,  $x_3 = 0.4$  with  $u_3 = 0.4$ , and  $x_4 = 0.5$  with  $u_4 = 0.1$ . Then, a possible group is*

**Algorithm 1:** Upper-Bound of Expectation

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**Input:** An attribute  $\alpha$ ,  $m_j$  sampled records from subset  $\mathcal{S}_j$  of  $\mathcal{T}$  for  $j = 1, 2$   
**Output:** An upper-bound  $\bar{\mathbb{E}}[f_j]$  of the expectation  $\mathbb{E}[f_j]$

- 1 Set a series of probabilities of success  $v'_1 \leftarrow \frac{1}{2}, v'_2 \leftarrow \frac{3}{4}, \dots, v'_{t-1} \leftarrow 1 - \frac{1}{2^{t-1}}, v'_t \leftarrow 1$  where  $v'_g \leftarrow 1 - \frac{1}{2^g}$  for  $g \in [1, t-1]$  and  $t = \lceil \log_2 n_j \rceil + 1$ ;
- 2 **for**  $g \in [1, t-1]$  **do**
- 3     **for**  $i \in [1, s_\alpha]$  **do**
- 4         Calculate the upper-bound  $y_{gi}$  of  $|F_{ji} - G_{ji}|$  by Lem. 4 with  $v'_g$ ;
- 5      $y_g \leftarrow \max_{i \in [1, s_\alpha]} y_{gi}$
- 6  $y_t \leftarrow 1$ ;
- 7  $v_g \leftarrow v'_g$  for  $g = 1$  and  $v_g \leftarrow v'_g - v'_{g-1}$  for  $g \in [2, t]$ ;
- 8  $\bar{\mathbb{E}}[f_j] \leftarrow \sum_{g=1}^t y_g v_g$ ;
- 9 **return**  $\bar{\mathbb{E}}[f_j]$ ;

---

as follows: When the total number of groups is 3, we can set a series of probabilities as  $v_1 = \frac{1}{2}$ ,  $v_2 = \frac{1}{4}$  and  $v_3 = \frac{1}{4}$ . We then group outcomes  $x_1$  and  $x_2$  as group 1 since  $u_1 + u_2 = v_1 = 0.5$ , i.e.,  $I_1 = \{1, 2\}$ , where  $y_1 = \max(x_1, x_2) = 0.3$ . As the probability  $u_3$  is larger than the probability  $v_2$  of the second group, i.e.,  $u_3 > \frac{1}{4}$ ,  $x_3$  should contribute to groups 2 and 3. We then have group 2,  $I_2 = \{3\}$  where  $y_2 = x_3 = 0.4$  and group 3,  $I_3 = \{3, 4\}$  where  $y_3 = \max(x_3, x_4) = 0.5$ . Then,  $\bar{\mathbb{E}}[f_j] \leq \sum_{g=1}^3 y_g v_g = 0.3 \times \frac{1}{2} + 0.4 \times \frac{1}{4} + 0.5 \times \frac{1}{4} = 0.375$ .

A new question arises: how to set up groups properly and derive each  $y_g$  corresponding to the group? For this, we zoom in on the expression of  $f_j$ . We aim to derive an upper-bound of  $f_j$ , i.e.,  $\|\mathbf{F}_j - \mathbf{G}_j\|_\infty$ , for a given probability  $v'_g$  where  $v'_g$  is defined as  $v'_g = \sum_{l=1}^g v_l$ . Specifically,  $v'_1 = \frac{1}{2}, v'_2 = \frac{3}{4}, \dots, v'_{t-1} = 1 - \frac{1}{2^{t-1}}, v'_t = 1$ . Note that  $v'_g$  differs from  $v_g$  as  $v'_g$  is for the whole range where  $f_j$  is no larger than the upper-bound  $y_g$  and  $v_g$  only contributes to the range between two consecutive upper-bounds  $y_{g-1}$  and  $y_g$ . Following the above example, when having not enough knowledge about the outcomes, we can only estimate  $y_1, y_2$ , and  $y_3$  with  $v'_1 = \frac{1}{2}, v'_2 = \frac{3}{4}$  and  $v'_3 = 1$ . The setting of  $v'_2$  (resp.  $v'_3$ ), i.e.,  $v'_2 = v_1 + v_2$  (resp.  $v'_3 = v_1 + v_2 + v_3$ ) is from the fact that  $y_2$  ( $y_3$ ) can bound all outcomes in groups 1 and 2 (1, 2 and 3), where we can estimate  $y_g$  for the corresponding probability with concentration bounds. Intuitively, given a probability  $v'_3$  of success larger than  $v'_2$ , the corresponding derived bound  $y_3$  becomes looser compared to  $y_2$  when using fixed sampled records. We will use this intuition to derive bound  $y_g$  of  $f_j = \|\mathbf{F}_j - \mathbf{G}_j\|_\infty$  and will focus on each dimension of the distribution.

**Deriving the upper-bound from each dimension.** Recap that  $F_{ji}$  is the probability that the record within  $\mathcal{S}_j$  for  $j = 1, 2$  has the value belonging to the subset  $\{e_1, e_2, \dots, e_i\}$  of all distinct values, on attribute  $\alpha$  and  $G_{ji}$  is the corresponding probability from the sampling. If  $\|\mathbf{F}_j - \mathbf{G}_j\|_\infty$  is no larger than an upper bound  $y_g$ , then all  $|F_{ji} - G_{ji}|$  should be no larger than  $y_g$  for each dimension  $i \in [1, s_\alpha]$  by the definition of infinity norm. With union bound, we have  $\mathbb{P}(\|\mathbf{F}_j - \mathbf{G}_j\|_\infty \leq y_g) \geq 1 - \sum_{i \in [1, s_\alpha]} \mathbb{P}(|F_{ji} - G_{ji}| > y_g)$ . Consider each dimension of the distribution. Once we can bound each  $|F_{ji} - G_{ji}|$  for  $i \in [1, s_\alpha]$  with probabilistic guarantees, the upper-bound  $y_g$  of  $\|\mathbf{F}_j - \mathbf{G}_j\|_\infty$  can also be derived for a given probability  $v'_g$ . Hence, we first derive upper bound  $y_{gi}$  of  $|F_{ji} - G_{ji}|$  for  $i \in [1, s_\alpha]$  with the given success probability using concentration inequalities. The detailed bound is shown as the following lemma.

LEMMA 4. Given attribute  $\alpha$  whose support size is  $s_\alpha$ ,  $m_j$  sampled records from subset  $S_j$ , failure probability  $(1 - v'_g)/s_\alpha$  and parameter  $a = \ln(2s_\alpha/(1 - v'_g))$ , we have that

$$|F_{ji} - G_{ji}| \leq \max(\epsilon_1, \epsilon_2) = y_{gi}$$

with probability at least  $1 - (1 - v'_g)/s_\alpha$ , where

$$\epsilon_1 = \frac{2aG_{ji} - \frac{2a}{3} + \sqrt{(G_{ji}m_j + \frac{2a}{3})^2 - (2a + m_j) \left(G_{ji}^2m_j - \frac{2aG_{ji}}{3}\right)}}{2a + m_j} \text{ and } \epsilon_2 = \frac{a}{m_j} + \sqrt{\frac{2a}{m_j} \left(G_{ji} + \frac{a}{2m_j}\right)}.$$

Focusing on each dimension to derive the bound for a given probability allows for greater flexibility than treating the function holistically. Given the bound  $y_g$  from the result of each dimension, we then aggregate by union bound. In particular, with the bounds in Lem. 4 for  $|F_{ji} - G_{ji}|$  where  $i \in [1, s_\alpha]$ , we can bound  $\|\mathbf{F}_j - \mathbf{G}_j\|_\infty$  by taking the maximum  $y_{gi}$  among each upper-bound  $y_{gi}$  of  $|F_{ji} - G_{ji}|$ , i.e.,  $y_g = \max_{i \in [1, s_\alpha]} y_{gi}$ . Concretely, we have  $\mathbb{P}(\|\mathbf{F}_j - \mathbf{G}_j\|_\infty \leq y_g) \geq 1 - \sum_{i \in [1, s_\alpha]} \mathbb{P}(|F_{ji} - G_{ji}| > y_g) \geq 1 - \sum_{i \in [1, s_\alpha]} \mathbb{P}(|F_{ji} - G_{ji}| > y_{gi}) = 1 - \sum_{i \in [1, s_\alpha]} (1 - v'_g)/s_\alpha = v'_g$ , meaning that given the probability  $v'_g$  of success,  $f_j = \|\mathbf{F}_j - \mathbf{G}_j\|_\infty$  is upper bounded by  $y_g$ .

After that, we discuss how to derive the upper-bound of  $\mathbb{E}[f_j]$  based on the above results. With the results of  $y_g$ 's, we have  $\mathbb{E}[f_j] \leq \sum_{g=1}^t y_g v'_g = \sum_{g=1}^t y_g (v'_g - v'_{g-1}) = \bar{\mathbb{E}}[f_j]$ . The algorithm for upper bounding  $\mathbb{E}[f_j]$  where  $j = 1, 2$  is formally presented in Algo. 1. Initially, we define the total number  $t$  of groups as  $\lceil \log_2 n_j \rceil + 1$  and set a series of probabilities of success as shown in Algo. 1 Line 1. In the calculation of each group, we derive the bound  $y_{gi}$  for each dimension with Lem. 4 and aggregate them as  $y_g$  with the maximum operator (Algo. 1 Lines 2-5). For the last probability  $v'_t$  of success, we set the corresponding upper-bound as the largest possible value of  $f_j$ , i.e., 1 (Algo. 1 Line 6). Finally, we obtain a bound for  $\mathbb{E}[f_j]$ , i.e.,  $\bar{\mathbb{E}}[f_j] = \sum_{g=1}^t y_g v'_g$  (Algo. 1 Line 8).

The technique above bounds the expectation  $\mathbb{E}[f_j]$  of the sampling error, i.e.,  $f_j^{KS} = \|\mathbf{F}_j - \mathbf{G}_j\|_\infty$ , which is used to calculate the difference between the exact metric function value and its estimated result. With the bounds  $\bar{\mathbb{E}}[f_j]$  for  $j = 1, 2$ , we can derive the lower- and upper-bounds of KS-test as shown in the previous subsection.

### 3.3 Distribution of Failure Probabilities

According to Lem. 3, the confidence radius  $r_{KS}$  is the summation of two parts, one for  $j = 1$  and the other for  $j = 2$ , and each part contains the failure probability. A direct question is raised about the feasibility to distribute the failure probability for each part to minimize the confidence radius. In fact, TopKAttr distributes failure probabilities in a heuristic way. Specifically, they distribute  $p'_f \sqrt{h_1}/(\sqrt{h_1} + \sqrt{h_2})$  for  $j = 1$  and  $p'_f \sqrt{h_2}/(\sqrt{h_1} + \sqrt{h_2})$  for  $j = 2$  without any theoretical analysis, where the total failure probability to calculate confidence radius is  $p'_f$ . In the following, we will show how to distribute failure probabilities properly with theoretical results. Removing elements that will not influence the optimization result, this problem can be formalized as

$$\min_{\lambda \in [0,1]} h_1 \sqrt{2 \ln(1/p'_f/\lambda)} + h_2 \sqrt{2 \ln(1/p'_f/(1-\lambda))}.$$

When defining  $\delta(\lambda) = h_1 \sqrt{2 \ln(1/p'_f/\lambda)} + h_2 \sqrt{2 \ln(1/p'_f/(1-\lambda))}$ , the target is to find a  $\lambda \in [0, 1]$  to minimize function  $\delta(\lambda)$ . The first derivative of  $\delta(\lambda)$  is  $\delta'(\lambda) = h_2/(1-\lambda)/\sqrt{2 \ln(1/p'_f/(1-\lambda))} - h_1/\lambda/\sqrt{2 \ln(1/p'_f/\lambda)}$ . It is easy to verify that the second derivative  $\delta''(\lambda) > 0$  for  $\lambda \in [0, 1]$ , which means that  $\delta'(\lambda)$  is monotonically increasing in this range. We then need to find the zero of  $\delta'(\lambda)$ . It

is nontrivial to find such a solution in a closed form. Alternatively, as  $1 - \lambda$  (resp.  $\lambda$ ) under the square root with logarithmic function cannot dominate the value of  $\delta'(\lambda)$  compared to the same expression outside the square root in practice, we solve  $h_2/(1-\lambda)/\sqrt{2\ln(1/p'_f)} - h_1/\lambda/\sqrt{2\ln(1/p'_f)} = 0$  instead, which provides a closed-form solution  $\lambda = h_1/(h_1 + h_2)$ . Thus, we distribute failure probabilities  $p'_f \cdot h_1/(h_1 + h_2)$  for  $j = 1$  and  $p'_f \cdot h_2/(h_1 + h_2)$  for  $j = 2$  in Lem. 3 with theoretical guarantees.

### 3.4 Extension to other Metric Functions

The analysis in previous subsections focuses on approximating KS-test. As mentioned earlier, our framework estimates the results on each dimension and aggregates them to approximate the real metric function. This technique has the potential to be applied to most metric functions for comparing distributions. In this subsection, we will apply the proposed technique to other metric functions, such as the Chebyshev distance, Earth Mover distance, and Euclidean distance. Instead of estimating the empirical cumulative distribution function of each dimension, we focus on the distribution function according to their definitions and then aggregate them, demonstrating the generality and flexibility of our framework.

**Approximation of Chebyshev distance.** The analysis of Chebyshev distance is similar to that of KS-test by replacing the empirical cumulative distribution with the data distribution on the corresponding attribute. Following the analysis of KS-test, the absolute difference between the exact Chebyshev distance  $D_{CH}(\alpha)$  and its estimation  $\hat{D}_{CH}(\alpha)$  on attribute  $\alpha$  is  $|D_{CH}(\alpha) - \hat{D}_{CH}(\alpha)| \leq f_1^{CH} + f_2^{CH}$ , where  $f_j^{CH}$  is defined as  $f_j^{CH} = \|\mathbf{p}_j - \mathbf{q}_j\|_\infty$  for  $j = 1, 2$  with data distributions  $\mathbf{p}_j$  and  $\mathbf{q}_j$  rather than empirical cumulative distributions  $F_j$  and  $G_j$  in KS-test. Notice that each  $p_{ji}$  in  $\mathbf{p}_j$  represents the probability of the record in subset  $\mathcal{S}_j$  containing value equal to  $e_i$  on attribute  $\alpha$  and  $q_{ji}$  is the corresponding probability among  $m_j$  samples from subset  $\mathcal{S}_j$ . To bound  $f_j^{CH}$ , we first derive an upper-bound  $\bar{\mathbb{E}}[f_j^{CH}]$  of its expectation  $\mathbb{E}[f_j^{CH}]$  by invoking a variant of Algo. 1. The only difference is that we calculate the upper-bound of  $|p_{ji} - q_{ji}|$  instead of  $|F_{ji} - G_{ji}|$  by the following lemma with given probability  $v'_g$  of success in Line 4.

LEMMA 5. *Given attribute  $\alpha$  with support size  $s_\alpha$ ,  $m_j$  sampled records from  $\mathcal{S}_j$ , and failure probability  $(1 - v'_g)/s_\alpha$ ,  $|p_{ji} - q_{ji}| \leq \max(\epsilon_1, \epsilon_2) = y_{gi}$  holds with probability at least  $1 - (1 - v'_g)/s_\alpha$  where*

$$\epsilon_1 = \frac{2aq_{ji} - \frac{2a}{3} + \sqrt{(q_{ji}m_j + \frac{2a}{3})^2 - (2a + m_j) \left( q_{ji}^2 m_j - \frac{2aq_{ji}}{3} \right)}}{2a + m_j} \text{ and } \epsilon_2 = \frac{a}{m_j} + \sqrt{\frac{2a}{m_j} \left( q_{ji} + \frac{a}{2m_j} \right)}.$$

In Lem. 5, the same parameters as Lem. 4 are omitted due to the limit of space. Besides, the maximum  $y_g$  among each upper-bound  $y_{gi}$  of  $|p_{ji} - q_{ji}|$  bounds  $f_j^{CH}$  with probability  $v'_g$  of success since  $\mathbb{P}(\|\mathbf{p}_j - \mathbf{q}_j\|_\infty \leq y_g) \geq 1 - \sum_{i \in [1, s_\alpha]} \mathbb{P}(|p_{ji} - q_{ji}| > y_g) \geq 1 - \sum_{i \in [1, s_\alpha]} \mathbb{P}(|p_{ji} - q_{ji}| > y_{gi}) = 1 - \sum_{i \in [1, s_\alpha]} (1 - v'_g)/s_\alpha = v'_g$  with union bound. Then the lower- and upper-bounds of Chebyshev distance follow Lem. 3 with the substitution of  $\bar{\mathbb{E}}[f_j^{KS}]$  with  $\bar{\mathbb{E}}[f_j^{CH}]$  calling of the variant of Algo. 1 mentioned above.

**Extension to Earth Mover and Euclidean distance.** To compute Earth Mover distance, we analyze the gap between the distribution and its estimation in each dimension. Yet, instead of combining the results from each dimension by taking the maximum, we sum up the differences to obtain the gap between the true Earth Mover distance and its estimated value using the union bound, which is derived from the definition of Earth Mover distance. Besides, we adaptively modify the aggregation method for Euclidean distance. It is worth noting that for Earth Mover distance, the absolute difference between the actual and estimated values  $|D_{EM}(\alpha) - \hat{D}_{EM}(\alpha)|$  is bounded by  $\frac{1}{2}(f_1^{EM} + f_2^{EM})$ . As a similar analysis of Euclidean distance, the absolute difference between the

**Algorithm 2:** Approximate Top- $k$  Attributes

---

**Input:** Table  $\mathcal{T}$ , predicates  $P_1$  and  $P_2$ ,  $k$ ,  $p_f$ ,  $\epsilon$   
**Output:** An approximate top- $k$  query answer

```

1  $C \leftarrow A$ ,  $m \leftarrow m_0$ ,  $R \leftarrow \emptyset$ ,  $i_{\max} \leftarrow \lceil \log_2 \frac{n}{m_0} \rceil$ ,  $p'_f \leftarrow \frac{p_f}{d \cdot i_{\max}}$ ;
2 while  $m < n$  do
3   Select records satisfying  $P_1$  and  $P_2$  from  $m$  records;
4   for  $\alpha \in C$  do
5     Calculate  $\hat{D}(\alpha)$ ,  $\underline{D}(\alpha)$  and  $\overline{D}(\alpha)$  by Lem. 3;
6      $R \leftarrow$  top- $k$  attributes  $\{\alpha'_1, \alpha'_2, \dots, \alpha'_k\}$  from  $C$  according to  $\hat{D}(\alpha)$ ;
7     Find top- $k$  lower-bounds  $\{\underline{D}(\alpha'_1), \underline{D}(\alpha'_2), \dots, \underline{D}(\alpha'_k)\}$  and upper-bounds
       $\{\overline{D}(\alpha''_1), \overline{D}(\alpha''_2), \dots, \overline{D}(\alpha''_k)\}$ 
8     if  $\overline{D}(\alpha'_i) - \underline{D}(\alpha'_i) \leq 2\epsilon$ ,  $\hat{D}(\alpha'_i) - \underline{D}(\alpha'_i) \leq \epsilon$  and  $\overline{D}(\alpha''_i) - \hat{D}(\alpha'_i) \leq \epsilon$  for  $i \in [1, k]$  then
9       return  $R$ ;
10    else
11       $m \leftarrow 2m$ ;
12    for  $\alpha \in C$  do
13      if  $\overline{D}(\alpha) < \underline{D}(\alpha'_k)$  then
14         $C \leftarrow C \setminus \{\alpha\}$ ;
15  $R \leftarrow$  top- $k$  attributes from  $C$  according to  $D(\alpha)$ ;
16 return  $R$ ;
```

---

exact Euclidean distance  $D_{EU}(\alpha)$  and its estimation  $\hat{D}_{EU}(\alpha)$  on attribute  $\alpha$  has an upper-bound  $\frac{1}{\sqrt{2}}(f_1^{EU} + f_2^{EU})$  when defining  $f_j^{EU} = \|\mathbf{p}_j - \mathbf{q}_j\|_2$  where  $j = 1, 2$ . Rather than deriving an upper-bound  $\overline{\mathbb{E}}[f_j^{EM}]$  (resp.  $\overline{\mathbb{E}}[f_j^{EU}]$ ) of  $\mathbb{E}[f_j^{EM}]$  (resp.  $\mathbb{E}[f_j^{EU}]$ ) in a closed form to calculate the confidence radius of Earth Mover distance (resp. Euclidean distance) on attribute  $\alpha$  as discussed in TopKAttr, we use another variant of Algo. 1 to get  $\overline{\mathbb{E}}[f_j^{EM}]$  (resp.  $\overline{\mathbb{E}}[f_j^{EU}]$ ). Specifically, we revise Lines 4-5 in Algo. 1 as calculating the upper-bound  $y_{gi}$  of  $|p_{ji} - q_{ji}|$  by Lem. 5 with given probability  $v'_g$  of success and acquire  $y_g$  using  $\sum_{i \in [1, s_\alpha]} y_{gi}$  (resp.  $\sqrt{\sum_{i \in [1, s_\alpha]} y_{gi}^2}$ ) to calculate  $\overline{\mathbb{E}}[f_j^{EM}]$  (resp.  $\overline{\mathbb{E}}[f_j^{EU}]$ ). During this process,  $y_g$  is able to bound  $f_j^{EM}$  (resp.  $f_j^{EU}$ ) with probability  $v'_g$  of success from the fact that  $\mathbb{P}(\|\mathbf{p}_j - \mathbf{q}_j\|_1 \leq y_g) \geq 1 - \sum_{i \in [1, s_\alpha]} \mathbb{P}(|p_{ji} - q_{ji}| > y_{gi}) = 1 - \sum_{i \in [1, s_\alpha]} (1 - v'_g)/s_\alpha = v'_g$  (resp.  $\mathbb{P}(\|\mathbf{p}_j - \mathbf{q}_j\|_2 \leq y_g) \geq 1 - \sum_{i \in [1, s_\alpha]} \mathbb{P}(|p_{ji} - q_{ji}| > y_{gi}) = 1 - \sum_{i \in [1, s_\alpha]} (1 - v'_g)/s_\alpha = v'_g$ ) using the union bound. Then, the lower- and upper-bounds of Earth Mover distance (resp. Euclidean distance) can be derived with Lem. 3 by replacing  $\overline{\mathbb{E}}[f_j^{KS}]$  with  $\overline{\mathbb{E}}[f_j^{EM}]$  (resp.  $\overline{\mathbb{E}}[f_j^{EU}]$ ). As our technique emphasizes each dimension of the distribution, it is flexible to derive bounds for complicated expressions and has potential for other metrics. We will explore this in our future work.

#### 4 APPROXIMATE TOP- $k$ QUERY PROCESSING

With the approximations of metric functions, we next show how the proposed framework answers approximate top- $k$  queries. **Approximate top- $k$  algorithm.** Algo. 2 presents the pseudo-code for finding approximate top- $k$  attributes with respect to a general metric function. To begin with, all attributes  $\alpha \in A$  are included in a candidate set  $C$  and the number  $m$  of records to retrieve is

initialized as  $m_0$ . During the iteration, we select  $m_1$  (resp.  $m_2$ ) records satisfying predicate  $P_1$  (resp.  $P_2$ ) from  $m$  records for the later estimation of metric functions (Algo. 2 Line 3). Then, the estimate, lower- and upper-bounds of the metric function value are calculated for each attribute  $\alpha \in C$ , denoted as  $\hat{D}(\alpha)$ ,  $\underline{D}(\alpha)$  and  $\overline{D}(\alpha)$ , respectively (Algo. 2 Lines 4-5). Based on their estimates  $\hat{D}(\alpha)$ , the estimated top- $k$  attributes  $\{\alpha'_1, \alpha'_2, \dots, \alpha'_k\}$  are placed into a result set  $R$ . Furthermore, based on the calculated results, we compute the  $k$  largest lower-bounds  $\{\underline{D}(\alpha'_1), \underline{D}(\alpha'_2), \dots, \underline{D}(\alpha'_k)\}$  and upper-bounds  $\{\overline{D}(\alpha''_1), \overline{D}(\alpha''_2), \dots, \overline{D}(\alpha''_k)\}$ . If the difference between the lower- and upper-bounds of each attribute  $\alpha \in R$  is no larger than  $2\epsilon$ , and the distances from the estimate  $\hat{D}(\alpha)$  to both  $\underline{D}(\alpha'_i)$  and  $\overline{D}(\alpha''_i)$  are no greater than  $\epsilon$ , then we return set  $R$  for the query as the returned  $k$  attributes will satisfy the conditions of the approximate top- $k$  query in Def. 2 (Algo. 2 Lines 8-9). Otherwise, the number of retrieved records is doubled to obtain more accurate results. Additionally, we safely prune the attributes whose upper-bounds are smaller than the  $k$ -th largest lower-bound to accelerate the query, as they cannot be returned with high probability. If the approximate top- $k$  attributes cannot be found after retrieving  $n$  records, the exact metric function values are calculated for each attribute  $\alpha \in C$  and the exact top- $k$  results are returned.

**Theoretical analysis.** Next, we demonstrate the correctness of Algo. 2. Specifically, the  $k$  attributes returned by the algorithm will satisfy the definition of the approximate top- $k$  query with a high probability.

**THEOREM 1.** *Algo. 2 returns a result set  $R = \{\alpha'_1, \alpha'_2, \dots, \alpha'_k\}$  of attributes selected from a set  $A$  of all attributes, where these  $k$  attributes satisfy the approximate top- $k$  query definition in Def. 2 with a probability of at least  $1 - p_f$ .*

We then discuss the expected running time of Algo. 2.

**THEOREM 2.** *The expected running time of Algo. 2 to answer the approximate top- $k$  query for attribute recommendation is*

$$O \left( \min \left\{ dn, \frac{\sum_{i=1}^d \left( \log^{\frac{1}{2}} \left( \frac{d \log n}{p_f} \right) + \sum_{j=1}^2 \log^{\frac{1}{2}} (n_j s_{\alpha_i}) \right)^2}{\epsilon^2 \eta} \right\} \right).$$

## 5 THEORETICAL ANALYSIS

We next show the omitted proofs in Sec. 3 and Sec. 4. The proofs of Lem. 3, Lem. 5, and Thm. 2 are omitted due to limited space. Interested readers are referred to our technical report [1].

**Proof of Lem. 4.** In this lemma, we establish an upper-bound on the absolute difference between  $F_{ji}$  and its estimate  $G_{ji}$  with a high probability. Recall that  $F_{ji} = \sum_{k=1}^i p_{ji}$  is the empirical cumulative distribution function of attribute  $\alpha$  in subset  $\mathcal{S}_j$  representing the ratio of attribute values on  $\alpha$  that are no larger than  $e_i$  for records in subset  $\mathcal{S}_j$  where  $i \in [1, s_\alpha]$  and  $j = 1, 2$ . Each sample can be regarded as a Bernoulli trial without replacement. Therefore, we have  $F_{ji} = \mathbb{E}[G_{ji}]$ . We first examine the probability that the value of  $G_{ji} - F_{ji}$  is at least  $\epsilon_1$ , and we obtain the following

inequality where  $a = \ln(2s_\alpha/(1 - v'_{k'}))$

$$\begin{aligned} \mathbb{P}(G_{ji} - F_{ji} \geq \epsilon_1) &= \mathbb{P}(F_{ji} \leq G_{ji} - \epsilon_1) = \mathbb{P}\left(F_{ji} \leq \frac{G_{ji} + \frac{2a}{3m_j} - \sqrt{\left(G_{ji} + \frac{2a}{3m_j}\right)^2 - \left(\frac{2a}{m_j} + 1\right)\left(G_{ji}^2 - \frac{2aG_{ji}}{3m_j}\right)}}{\frac{2a}{m_j} + 1}\right) \\ &\leq \mathbb{P}\left(\left(\frac{2a}{m_j} + 1\right)F_{ji}^2 - \left(2G_{ji} + \frac{4a}{3m_j}\right)F_{ji} + G_{ji}^2 - \frac{2aG_{ji}}{3m_j} \geq 0\right) = \mathbb{P}\left(G_{ji} \geq F_{ji} + \frac{a}{3m_j} + \sqrt{\frac{a^2}{9m_j^2} + \frac{2aF_{ji}(1-F_{ji})}{m_j}}\right). \end{aligned}$$

The last equation holds as the probability of the other side is 0 conditioned on  $G_{ji} \geq F_{ji}$ . Define  $\delta_1$  as  $\frac{a}{3m_j} + \sqrt{\frac{a^2}{9m_j^2} + \frac{2aF_{ji}(1-F_{ji})}{m_j}}$ ,  $\delta_1^2 = 2aF_{ji}(1-F_{ji})/m_j + 2a\delta_1/3/m_j$ . By [5] (Prop. 1.4), we have

$$\mathbb{P}(G_{ji} \geq F_{ji} + \delta_1) \leq \exp\left(-\frac{m_j\delta_1^2}{2F_{ji}(1-F_{ji}) + \frac{2\delta_1}{3}}\right) = \frac{1 - v'_{k'}}{2s_\alpha}.$$

We then consider the case where  $F_{ji} - G_{ji}$  is no smaller than  $\epsilon_2$ .

$$\begin{aligned} \mathbb{P}(F_{ji} - G_{ji} \geq \epsilon_2) &= \mathbb{P}(F_{ji} \geq G_{ji} + \epsilon_2) = \mathbb{P}\left(F_{ji} \geq G_{ji} + \frac{a}{m_j} + \sqrt{\frac{2a}{m_j}\left(G_{ji} + \frac{a}{2m_j}\right)}\right) \\ &= \mathbb{P}\left(\sqrt{F_{ji}} - \sqrt{\frac{a}{2m_j}} \geq \sqrt{G_{ji} + \frac{a}{2m_j}}\right) \leq \mathbb{P}\left(G_{ji} \leq F_{ji} - \sqrt{\frac{2aF_{ji}}{m_j}}\right). \end{aligned}$$

Define  $\delta_2$  as  $\sqrt{\frac{2aF_{ji}}{m_j}}$ . From [11] (Thm. 7), we have

$$\mathbb{P}(G_{ji} \leq F_{ji} - \delta_2) \leq \exp\left(-\frac{m_j\delta_2^2}{2E[G_{ji}^2]}\right) \leq \exp\left(-\frac{m_j\delta_2^2}{2F_{ji}}\right) = \frac{1 - v'_{k'}}{2s_\alpha}.$$

The last inequality comes from the fact that  $\mathbb{E}[G_{ji}^2] \leq \mathbb{E}[G_{ji}] = F_{ji}$ . With union bound, we have  $|F_{ji} - G_{ji}| \leq \max(\epsilon_1, \epsilon_2)$  with probability at least  $1 - (1 - v'_{k'})/s_\alpha$ .  $\square$

**Proof of Thm. 1.** In Algo. 2, the returned attributes based on the approximate results have the properties that  $\bar{D}(\alpha'_i) - \underline{D}(\alpha'_i) \leq 2\epsilon$  for  $i \in [1, k]$ . We define the estimation  $\hat{D}(\alpha)$  of  $D(\alpha)$  as  $\hat{D}(\alpha) = (\underline{D}(\alpha) + \bar{D}(\alpha))/2$ . Since  $\underline{D}(\alpha'_i) \leq D(\alpha'_i) \leq \bar{D}(\alpha'_i)$ , the absolute difference between  $D(\alpha)$  and  $\hat{D}(\alpha)$  is no larger than  $\epsilon$ , i.e.,  $|D(\alpha'_i) - \hat{D}(\alpha'_i)| \leq \epsilon$ , which satisfies the first condition in Def. 2.

For attribute  $\alpha_i^*$ , where  $i \in [1, k]$  and which is the attribute with the  $i$ -th largest metric function value, we have  $\underline{D}(\alpha_i^*) \leq D(\alpha_i^*) \leq \bar{D}(\alpha_i^*)$  since  $\alpha_i^*$  is the attribute with the  $i$ -th largest lower-bound and  $\alpha_i^u$  is the attribute with the  $i$ -th largest upper-bound. The distances between  $\hat{D}(\alpha_i^*)$  and the boundaries of  $D(\alpha_i^*)$ , i.e.,  $\underline{D}(\alpha_i^*)$  and  $\bar{D}(\alpha_i^*)$ , are all no larger than error bound  $\epsilon$ . Therefore, the absolute difference between the exact  $i$ -th largest metric function value  $D(\alpha_i^*)$  and the  $i$ -th largest estimated value  $\hat{D}(\alpha_i^*)$  is no larger than  $\epsilon$ , i.e.,  $|D(\alpha_i^*) - \hat{D}(\alpha_i^*)| \leq \epsilon$ , satisfying the second condition of the definition of the approximate top- $k$  query. The above analysis assumes that all  $D(\alpha)$  fall within their respective confidence intervals. With at most  $i_{\max}$  iterations,  $d$  attributes in each iteration and the failure probability of each call to calculate  $\underline{D}(\alpha)$  and  $\bar{D}(\alpha)$  is at most  $p'_f$ , the total failure probability of Algo. 2 is at most  $p'_f \cdot d \cdot i_{\max} = p_f$ . It is easy to verify that when returning top- $k$  attributes with exact values, all returned attributes satisfy Def. 2. Hence, Algo. 2 returns attributes fulfilling Def. 2 with probability at least  $1 - p_f$ .  $\square$



Table 2. Summary of datasets

Dataset	Rows	Columns
Enem	69,940,536	67
Census American Housing	87,154,886	175
Census American Population	185,760,233	192
Airline Reporting Carrier On-Time	194,385,636	53

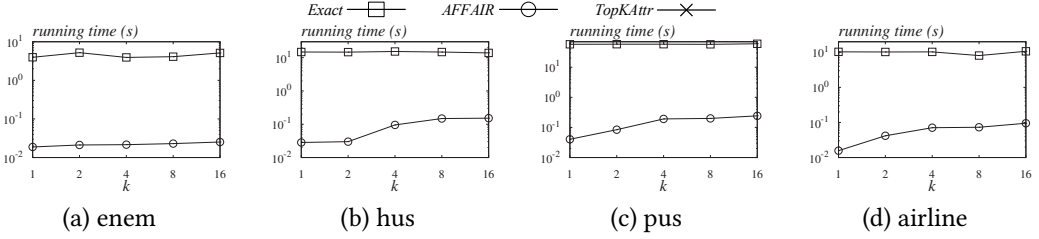


Fig. 2. Varying  $k$ : Running time of the top- $k$  algorithms on KS-test.

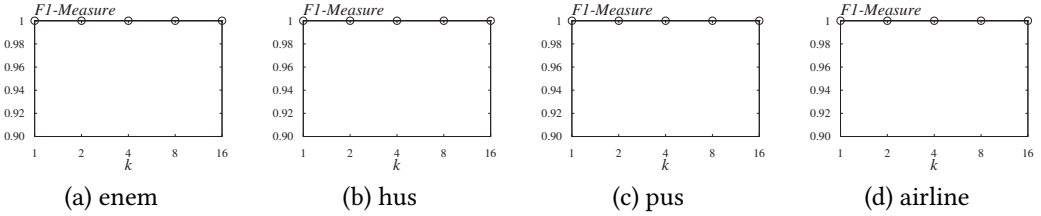


Fig. 3. Varying  $k$ : F1-Measure of the query result on KS-test.

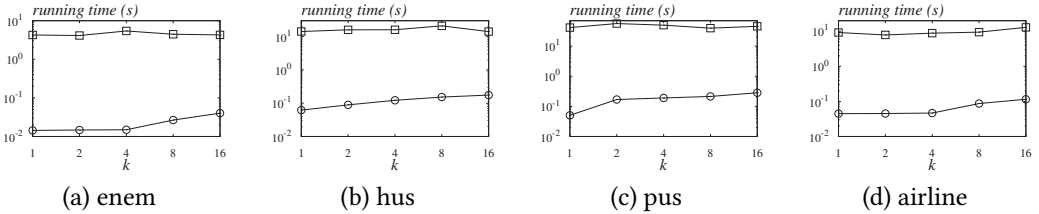


Fig. 4. Varying  $k$ : Running time of top- $k$  algorithms on Chebyshev distance.

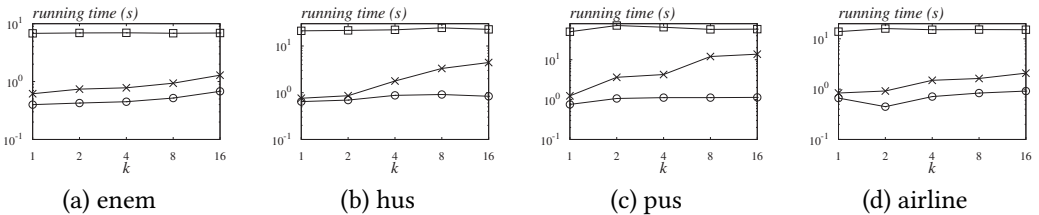


Fig. 5. Varying  $k$ : Running time of top- $k$  algorithms on Earth Mover distance.

## 6 EXPERIMENTS

This section evaluates the proposed framework against the alternatives experimentally. All experiments are conducted on a Linux machine with an Intel Xeon CPU @2.3GHz and 448GB memory.

### 6.1 Settings

**Datasets.** To validate the efficiency and effectiveness of the algorithms, we utilize four large real datasets: Enem, Census American Housing (hus), Census American Population (pus), and Airline

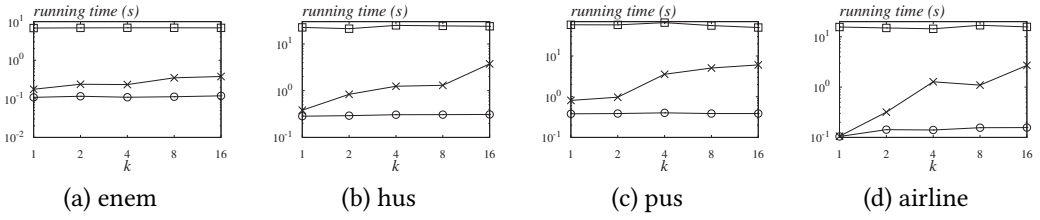


Fig. 6. Varying  $k$ : Running time of top- $k$  algorithms on Euclidean distance.

Reporting Carrier On-Time (airline). Each dataset consists of more than 60 million records and no fewer than 50 attributes. These large real datasets are publicly available online and were previously tested in [49, 50], with statistics summarized in Tab. 2. To obtain two subsets  $S_1$  and  $S_2$  of a dataset, we randomly generate predicates  $P_1$  and  $P_2$  that satisfy corresponding selectivities. Throughout all experiments, each metric is averaged over 10 cases.

**Algorithms.** We compare our approximation framework for attribute recommendation (AFFAIR) with the state-of-the-art solution TopKAttr [49] and exact method by scanning all records (dubbed as Exact). For Earth Mover distance and Euclidean distance, we compare our AFFAIR with TopKAttr and Exact. For TopKAttr, we set the number of records in each partition to be 100K, in line with the configuration mentioned in [50] which uses the same methodology as TopKAttr to find top- $k$  attributes but focuses on the single-machine in-memory setting. Only Exact is compared on KS-test and Chebyshev distance since TopKAttr does not provide a solution for these metric functions. The evaluation focuses on the running time for all algorithms and the F1-measure of the results returned by approximation algorithms. All algorithms are implemented with C++ and compiled with full optimization.

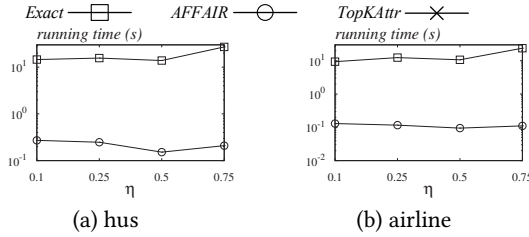
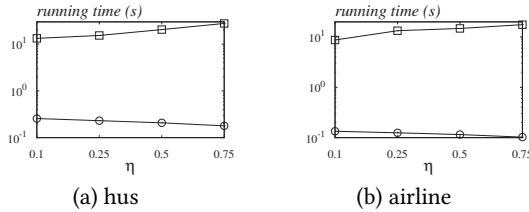
**Parameters.** Both AFFAIR and TopKAttr require a failure probability in the top- $k$  algorithms, denoted by  $p_f$ , which is set to  $p_f = 1/n$  where  $n$  is the total number of records in the dataset. In our AFFAIR, an error bound  $\epsilon$  is provided to trade off the query efficiency and accuracy. As will be discussed in Sec. 6.4, we set the default value of  $\epsilon$  to 0.05 as it balances efficiency and accuracy well. For the initial sample  $m_0$  (Ref. to Algo. 2), we set it to 1024 to align it to a page size if the record values are integers.

## 6.2 Top- $k$ Query Processing

In this subsection, we present the evaluation of our framework against alternatives on top- $k$  queries using four different metric functions: KS-test, Chebyshev distance, Earth Mover distance, and Euclidean distance. To evaluate the influence of parameter  $k$  on performance, we vary  $k$  from 1 to 16. We report the results for  $k = \{1, 2, 4, 8, 16\}$  while using a fixed error bound of  $\epsilon = 0.05$  and a selectivity of  $\eta = 0.5$ .

**Effectiveness on KS-test and Chebyshev distance.** Fig. 2 shows the running time of top- $k$  algorithms on the KS-test. For all cases, AFFAIR is 90 $\times$  faster than Exact. When  $k = 1$  on dataset pus, AFFAIR achieves an up to 1430 $\times$  speed-up over Exact. As for accuracy, AFFAIR returns the same top- $k$  attributes as Exact with 100% F1-measure in all cases on all datasets, as shown in Fig. 3. It shows that our AFFAIR gains superb efficiency without any trade-off to accuracy, which is the preferred choice. For remaining metric functions, our framework consistently achieves nearly 100% F1-measure on all datasets in all cases. Hence, we omit the results for the interest of space. Interested readers are referred to our technical report [1].

Next, we examine the performance of AFFAIR with Chebyshev distance. Fig. 4 shows that AFFAIR is more than 80 $\times$  faster than Exact in all cases. Specifically, AFFAIR has an up to 819 $\times$  speed-up over Exact when  $k = 1$  on dataset pus.

Fig. 7. Varying  $\eta$ : Running time on KS-test.Fig. 8. Varying  $\eta$ : Running time on Chebyshev distance.

**Effectiveness on Earth Mover and Euclidean distance.** Fig. 5 demonstrates that AFFAIR outperforms both Exact and TopKAttr in all cases on Earth Mover distance, with up to  $67\times$  speed-up over Exact when  $k = 2$  on dataset pus, and up to  $12\times$  speed-up over TopKAttr when  $k = 16$  on dataset pus.

Next, we examine the performance of all methods on Euclidean distance. As shown in Fig. 6, our AFFAIR outperforms alternatives in terms of efficiency in all cases. Notably, when  $k = 8$ , AFFAIR is up to  $165\times$  faster than Exact on pus dataset; our solution further obtains up to  $16\times$  speed-up over TopKAttr when  $k = 16$  on hus.

### 6.3 Impact of Selectivity $\eta$

We also conduct a set of experiments to evaluate the impact of selectivity  $\eta$ . To do this, we vary  $\eta$  from 0.1 to 1 and show the results when  $\eta$  is  $\{0.1, 0.25, 0.5, 0.75\}$ . In this set of experiments, error bound  $\epsilon$  is set to 0.05, and  $k$  is fixed at 16. For the interest of space, we only report the results on two datasets: hus and airline. The results on all datasets can be found in our technical report [1]. The accuracy result in terms of F1-measure is also omitted as all approximate algorithms return results with nearly 100% F1-measure. Interested readers are referred to [1] for the results.

**Effectiveness on KS-test and Chebyshev distance.** Fig. 7 shows the running time on KS-test. Across all instances, AFFAIR is at least  $50\times$  faster than Exact and achieves up to two orders of magnitude speed-up over Exact on both datasets. Again, AFFAIR is at least  $50\times$  faster than Exact in all cases and achieves up to two orders of magnitude speed-up over Exact on both datasets.

**Effectiveness on Earth Mover and Euclidean distance.** Fig. 9 reports the running time with Earth Mover distance as the metric. It shows that AFFAIR outperforms both Exact and TopKAttr in all cases in terms of running time. When  $\eta = 0.75$  on dataset hus, our AFFAIR is up to  $30\times$  faster than Exact and exhibits a significant speed-up of up to  $17\times$  over TopKAttr.

Fig. 10 reports the running time with Euclidean distance as the metric. It illustrates that AFFAIR is more than  $40\times$  faster than Exact and has a significant speed-up over TopKAttr in all cases based on their respective running time. When  $\eta = 0.75$  on dataset airline, AFFAIR is up to  $114\times$  faster than Exact, while on dataset hus, AFFAIR achieves an up to  $34\times$  speed-up over TopKAttr.

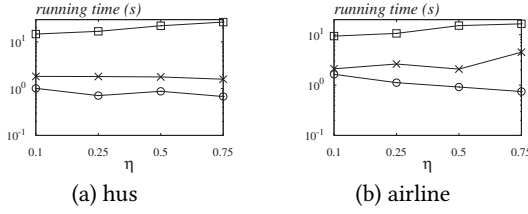


Fig. 9. Varying  $\eta$ : Running time on Earth Mover distance.

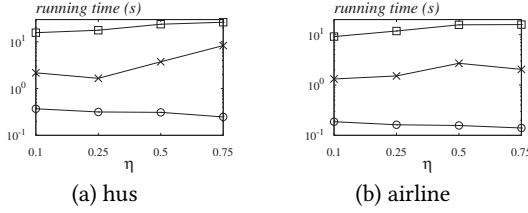


Fig. 10. Varying  $\eta$ : Running time on Euclidean distance.

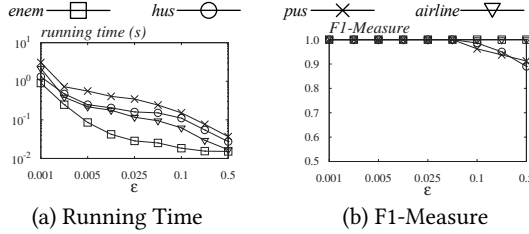


Fig. 11. Tuning  $\epsilon$ : KS-test.

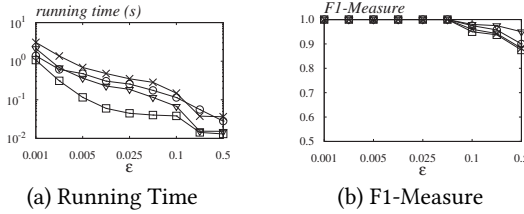
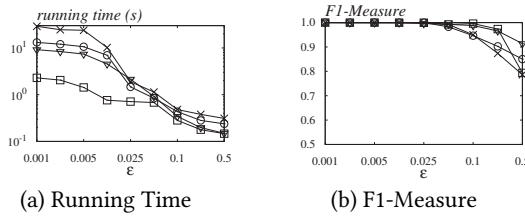


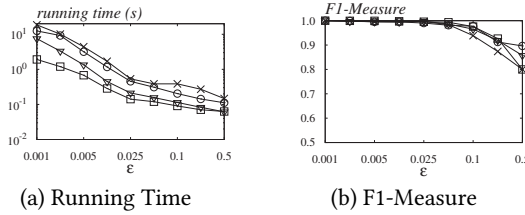
Fig. 12. Tuning  $\epsilon$ : Chebyshev distance.

### 6.4 Tuning Error Bound Parameter $\epsilon$

Recap that our AFFAIR has an error-bound parameter  $\epsilon$  to balance efficiency and accuracy. Next, we evaluate the impact of  $\epsilon$  on the top- $k$  queries for four distribution comparison metric functions: KS-test, Chebyshev distance, Earth Mover distance, and Euclidean distance. We vary  $\epsilon$  from 0.001 to 0.5 and report the results when  $\epsilon$  is equal to  $\{0.001, 0.0025, 0.005, 0.01, 0.025, 0.05, 0.1, 0.25, 0.5\}$  when fixing  $k$  at 16 and selectivity  $\eta$  at 0.5. As shown in Figs. 11-14 (a), the running time of our approximate top- $k$  query on KS-test and Chebyshev distance sharply decreases with increasing  $\epsilon$ . However, for  $\epsilon > 0.05$ , the F1-measures drop below 98% on KS-test for dataset pus, as shown in Fig. 11 (b), and on Chebyshev distance for datasets enem, hus, and pus, as shown in Fig. 12 (b). When  $\epsilon > 0.05$ , the F1-measures fall below 98% on Earth Mover distance for datasets hus and pus as shown in Fig. 13 (b) and on Euclidean distance for all four datasets as shown in Fig. 14 (b). Therefore, we use  $\epsilon = 0.05$  as the default setting of all four tested metric functions since it achieves the best trade-off between efficiency and accuracy.



(a) Running Time (b) F1-Measure  
Fig. 13. Tuning  $\epsilon$ : Earth Mover distance.



(a) Running Time (b) F1-Measure  
Fig. 14. Tuning  $\epsilon$ : Euclidean distance.

## 6.5 User Study

Next, we have a user study to assess the effectiveness of our recommended attributes based on metric functions for real users.

**Comparing different metric functions.** Following SeeDB [48], we use the pus dataset and the task of analyzing the impact of marital status. Similar to the user study in SeeDB [48], we set the two predicates married="True" and married="False" for trend analysis. The goal is to find the attributes such that under these two different predicates, the two distributions show different trends that can be used for analysis. We first visualize the two distributions for 100 attributes when the predicate married is "True" and "False", respectively. In SeeDB [48], 5 experts are then asked to classify each visualization as interesting or not interesting in the context of the task. Then, they use the majority vote to label the attribute as "interesting" or "not interesting". We follow their setting and invite 5 experts to manually label the distribution deviation for each attribute as interesting and not interesting. We then derive the rank of each attribute by taking the average score of these 5 experts. For instance, if 4 out of 5 experts think the attribute is interesting, the score is 0.8. We then rank the attributes by the scores. When the attributes have the same score, we rank them by the alphabetic order of the attribute name to make them consistent no matter how we change the metric function. Among these 100 attributes, 9 attributes have a score of 1, 9 have a score of 0.8, 9 have a score of 0.6, 6 have a score of 0.4, 6 have a score of 0.2, and all remaining have a score of 0. Thus, 27 attributes are labeled as "interesting", and the remaining attributes are "not interesting". In Fig. 15, each row indicates an attribute and for the same row, the attribute is the same for all metric functions, ranked according to the scores of experts. The uppermost attribute has the highest score 1.0, and the attribute at the bottom has the lowest score 0.0 ranked by the experts. Next, a colored heatmap is drawn based on the rank of each attribute by using each metric function.

The heatmap in Fig. 15 shows a concentration of red bands at the top and blue bands at the bottom, indicating that all four metric functions perform well in identifying interesting trends with high values while filtering out uninteresting ones, which suggests that the attributes recommended by our framework are of high quality.

However, the figure also reveals that there is no one-size-fits-all metric function for the attribute recommendation task. To explain, firstly, as we can observe from the dashed box at the top in Fig. 15, there exists an attribute "Time of arrival at work" that is ranked high by experts but is ranked medium with the Euclidean distance (in white color) and ranked low with Chebyshev distance (in blue color). Fig. 16 shows the detailed distribution of the attribute "Time of arrival at work" for those

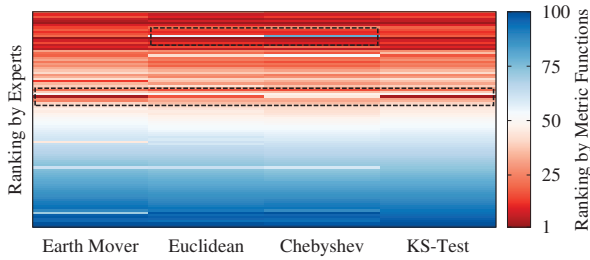


Fig. 15. Metric functions ranking.

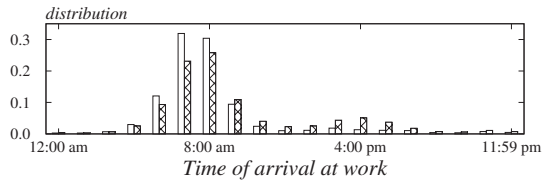


Fig. 16. Interesting attribute: high ranking with Earth Mover&amp;KS-test; low ranking with Euclidean&amp;Chebyshev.



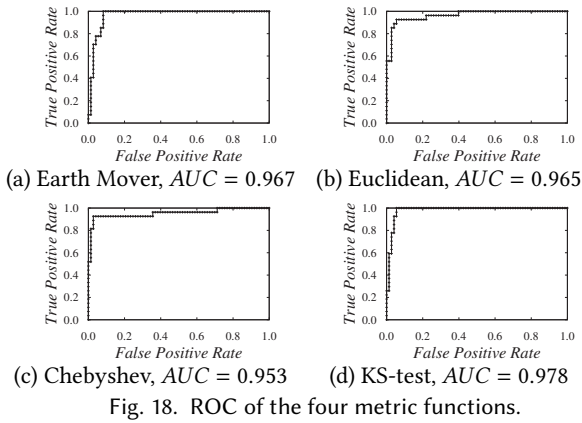
Fig. 17. Non-interesting attribute: high ranking with Earth Mover, Euclidean, and KS-test; low ranking with Chebyshev.

who are married and not married. There is a significant trend change in the time of arrival at work depending on the marital status, and such a trend can be potentially used in other data analytic tasks. For example, companies can leverage this trend to strategically place TV advertisements targeting specific users on public transportation at different times of the day.

Besides, for the dashed box below in Fig. 15, we can find that the attribute “Age”, which is “not interesting” with a score of 0.0 by the experts, is ranked high (with deep red) by Earth Mover distance, Euclidean distance, and KS-test. Only Chebyshev provides a low rank to this attribute. Fig. 17 shows the detailed distribution. There is an obvious trend that a young age tends not to be married while adults are more likely to be married. This is expected from common sense and is not interesting from a data scientist’s perspective.

The results show that there is no one-size-fits-all metric function for attribute recommendation and it is necessary to include a general framework to support more metric functions.

**Effectiveness in attribute recommendation.** Additionally, we follow SeeDB and adopt the “receiver operating characteristic” (ROC) curve, a common concept in data mining, to show the relationship between the false positive rate and the true positive rate. Here, in our studied problem, the true positive rate measures the ratio of retrieved interesting attributes over the whole set of interesting attributes. The false positive rate measures the ratio of non-interesting attributes that are falsely returned in the top- $k$  answer over the total number of non-interesting attributes. The goal is to achieve a high true positive rate and a low false positive rate. The area under the ROC curve (also called the AUC), measures how well a top- $k$  algorithm balances the true positive rate and false positive rate. The higher the AUC is, the better the top- $k$  algorithm is. Generally, when AUC is above 0.9, the top- $k$  algorithm is considered to perform excellently. Fig. 18 shows the ROC curve and the corresponding AUC for these four metric functions, where the top- $k$  algorithm with



all four metric functions can gain excellent AUC, all above 0.95. Besides, KS-test achieves the best AUC of 0.978, demonstrating a better alternative to Euclidean and Earth Mover distance. We further combine all four metric functions to obtain the medium score and use the score to derive the top- $k$  answer. This strategy gains an AUC of 0.982, achieving a better performance. It shows the potential to improve the effectiveness by supporting different metric functions with a generalized framework.

In summary, our experiments show that all four metric functions effectively recommends interesting attributes for trend analysis. Yet, there is no metric function that is best suited for all scenarios, as indicated by our findings. Providing a general framework to support more metric functions other than Euclidean and Earth Mover distance is indeed important. With more metric functions, we may combine them to avoid the limitations of a specific metric function and have the potential to gain better results.

## 7 RELATED WORK

There are existing works [39, 40] that identify sub-cubes of a cube having the largest deviation among all sub-cubes, similar to the attribute recommendation problem in [48, 49] which finds attributes with the largest variations for ad hoc queries. These works use data mining techniques such as table analysis method [40] and entropy [39]. Seo et. rank attributes to enable the understanding of distributions and discovery of relationships [41]. Based on the statistics of data, VizDeck recommends visualizations with a series of heuristics [26]. Voyager [56] provides univariate summaries for each attribute, suggests additional attributes beyond the selected one by users, and ranks visualizations according to data properties. SeeDB [48] and TopKAttr [49] recommend attributes with the largest deviations between two subsets of records under ad hoc queries.

In feature selection, existing works can be categorized as filter methods, wrapper methods, and embedded methods [28]. This review focuses mainly on the filter methods that rely on statistical methods to evaluate each attribute. Laplacian score [21] selects features that best preserve the data manifold structure. Information gain [20] measures the importance of a feature from its correlation with class labels. Besides, fast correlation-based filter [57] considers both feature-class correlation and feature-feature correlation. In addition, the Chi-square score [30] leverages the independence test to validate whether the feature is independent of the class label.

Sampling methods are widely used in databases [34]. Olken et al. design data structures and algorithms for sampling from relational databases [35]. Toivonen adopts sampling to find association rules from large databases efficiently [47]. Additionally, approximate query processing (AQP) is a technique used in database systems to provide quick and approximate answers to complex queries

based on samples. Recently, Chaudhuri et al. point out two routes: ceding control over accuracy to the user and leveraging AQP system for exploratory queries, to integrate AQP into data platforms. Later, VerdictDB [36], an AQP framework is proposed to work with all off-the-shelf engines using a middleware architecture.

## 8 CONCLUSION

This paper proposes a general approximation framework AFFAIR for attribute recommendation that returns  $k$  attributes efficiently while providing theoretical guarantees. The framework accommodates a broad range of metric functions such as KS-test, Chebyshev distance, Earth mover distance, Euclidean distance, and has the potential to integrate more metrics. Extensive experiments show that AFFAIR is an order of magnitude faster than the state-of-the-art approximate solution while maintaining consistently high accuracy.

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